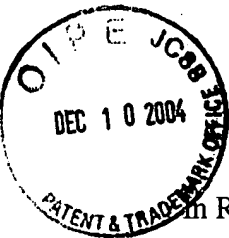


1614  
Hm



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Baell et al.

Serial No.: 09/995,277

Filed: November 26, 2001

Atty. File No.: 4102-5-1

For: "Fc RECEPTOR MODULATORS  
AND USES THEREOF"

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

) Group Art Unit: 1614

) Examiner: P. Spivack

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
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22313-1450, ON 1 Dec. 2004.

SHERIDAN ROSS P.C.

BY: Constantine Baell

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby provide a copy of the document identified on the enclosed PTO Form 1449. Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application. These references were cited in a related foreign patent application not more than 3 months ago.

Further pursuant to Applicants' duty of disclosure, a concise explanation of each such document which is not in the English language follows below:

Foreign Document

1. Russian patent SU 750 960 A discloses biologically active carboxychalcone derivatives.

Applicants note that the Issue Fee has been paid and acknowledge that, according to 37 CFR § 1.97(i), this Supplemental Information Disclosure Statement will be placed in the file, but will not be considered by the U.S. Patent Office.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Robert D. Traver

Robert D. Traver

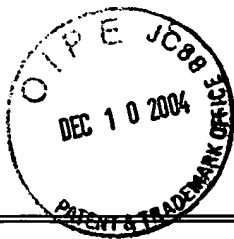
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1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 7 Dec 2004

SHEET 1 OF 1

|  |                                  |                          |
|--|----------------------------------|--------------------------|
| FORM PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>INFORMATION DISCLOSURE STATEMENT<br>(Use several sheets if necessary) | ATTY. DOCKET NO.<br>4102-5-1     | SERIAL NO.<br>09/995,277 |
|  | APPLICANT<br>Baell et al.        |                          |
|  | FILING DATE<br>November 26, 2001 | GROUP ART<br>1614        |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROP. |
|----------------------|----|--------------------|------|------|-------|--------------|---------------------------|
|                      | AA |                    |      |      |       |              |                           |
|                      | AB |                    |      |      |       |              |                           |
|                      | AC |                    |      |      |       |              |                           |
|                      |    |                    |      |      |       |              |                           |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE      | COUNTRY      | CLASS | SUB<br>CLASS | TRANSLATION |    |
|--|----|--------------------|-----------|--------------|-------|--------------|-------------|----|
|  |    |                    |           |              |       |              | YES         | NO |
|  | AD | SU750960 A         | 4/30/1982 | Soviet Union |       |              |             | X  |
|  | AE |                    |           |              |       |              |             |    |
|  |    |                    |           |              |       |              |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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|  | AF |  |
|  | AG |  |
|  | AH |  |
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|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |